



1642

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number	10/016,686
Filing Date	November 2, 2001
First Named Inventor	KINGSMAN, Alan
Art Unit	1642
Examiner Name	Larry R. Helms

RECEIVED  
DEC 22 2003

TECH CENTER 1600/2900

## ENCLOSURES (Check all that apply)

- Fee Transmittal Form
  - Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
  - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s)

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Statement Under 37 CFR 3.73(b), copy of counterpart Assignments, Return Postcard

Remarks

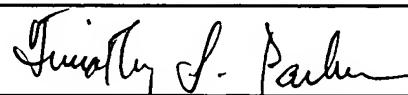
The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP Kawai Lau	
Signature		
Date	Reg. No. 44,461 December 15, 2003	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Timothy S. Parker		
Signature		Date	December 15, 2003



OBM42 US

PTO/SB/82 (06-03)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**REVOCATION OF POWER OF  
ATTORNEY and APPOINTMENT OF  
NEW POWER OF ATTORNEY**

Application Number	10/016,686
Filing Date	November 2, 2001
First Named Inventor	Alan KINGSMAN
Art Unit	1619
Examiner Name	L. Helms
Attorney Docket Number	021911000400US

I hereby revoke all previous powers of attorney given in the above-identified application:

 A Power of Attorney is submitted herewith.**OR** I hereby appoint the practitioners at Customer Number:

20350

 Please change the correspondence address for the above-identified application to: The address associated with  
Customer Number:

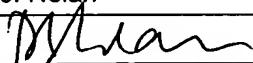
20350

**OR**

<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City			
Country	State		ZIP
Telephone	Fax		

I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name	Peter J. Nolan		
Signature			
Date	10 December 2003	Telephone	011 44 1865 783000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

 \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*

60037451 v1

DEC 18 2003

Approved for use through 04/30/2003. OMB 0651-0031  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
 Attorney Docket No. 021911-00040US

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Alan Kingsman, et al.

Application No./Patent No.: 10/016,686 Filed/Issue Date: November 2, 2001

Entitled: Antibodies

Oxford BioMedica (UK) Ltd., a corporation  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.  
 The extent (by, percentage) of its ownership interest is        %

in the patent application/patent identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel       , Frame       , or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From:        To :         
 The document was recorded in the United States Patent and Trademark Office at  
 Reel       , Frame       , or for which a copy thereof is attached.

2. From:        To :         
 The document was recorded in the United States Patent and Trademark Office at  
 Reel       , Frame       , or for which a copy thereof is attached.

3. From:        To :         
 The document was recorded in the United States Patent and Trademark Office at  
 Reel       , Frame       , or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

10 December 2003  
 Date

011-44-1865-783000

Telephone number

Peter J. Nolan

Typed or printed name

P. Nolan

Signature

Senior Vice President - Commercial  
 Development

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# Not For Recordation

Attorney Docket No.: 021911-000400US  
Client Reference No.: OBM42

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, **Alan Kingsman**, c/o Oxford BioMedica (UK) Ltd. Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; **Susan Mary Kingsman**, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; **Christopher R. Bebbington** of 132 Avila Road, San Mateo, California 94402; **Miles W. Carroll**, c/o Oxford BioMedica Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; **Fiona M. Ellard**, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; **Kevin A. Myers**, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **ANTIBODIES**

Date(s) of execution of Declaration:

Filing Date: **November 2, 2001**

Application No.: **10/016,686; and**

WHEREAS, **Oxford BioMedica (UK) Limited**, a corporation of United Kingdom, located at Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

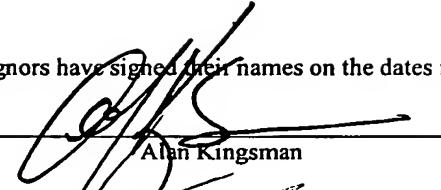
For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

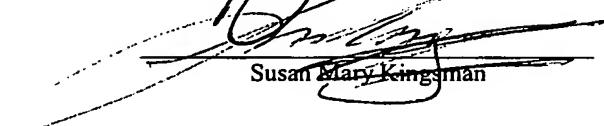
Assignment  
Attorney Docket No.: 021911-000400US  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

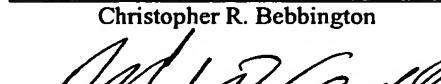
Dated: 12 November 2003

  
Alan Kingsman

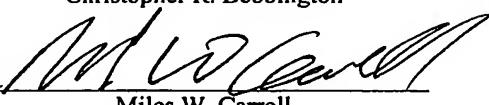
Dated: 12 November 2003

  
Susan Mary Kingsman

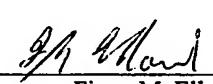
Dated: \_\_\_\_\_

  
Christopher R. Bebbington

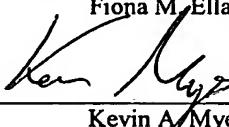
Dated: 14<sup>th</sup> Nov 2003

  
Miles W. Carroll

Dated: 12<sup>th</sup> November 2003

  
Fiona M. Ellard

Dated: 12<sup>th</sup> November 2003

  
Kevin A. Myers

60070296 v1

Not For Recordation

Attorney Docket No.: 021911-000400US  
Client Reference No.: OBM42

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Alan Kingsman, c/o Oxford BioMedica (UK) Ltd. Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Susan Mary Kingsman, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Christopher R. Bebbington of 132 Avila Road, San Mateo, California 94402; Miles W. Carroll, c/o Oxford BioMedica Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Fiona M. Ellard, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom; Kevin A. Myers, c/o Oxford BioMedica (UK) Limited, Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA United Kingdom, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **ANTIBODIES**

Date(s) of execution of Declaration:

Filing Date: **November 2, 2001**

Application No.: **10/016,686; and**

WHEREAS, Oxford BioMedica (UK) Limited, a corporation of United Kingdom, located at Medawar Centre, Robert Robinson Avenue, The Oxford Science Park, Oxford, OX4 4GA, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment

Attorney Docket No.: 021911-000400US

Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: \_\_\_\_\_

Alan Kingsman

Dated: \_\_\_\_\_

Susan Mary Kingsman

Dated: 11/23/03

  
Christopher R. Bebbington

Dated: \_\_\_\_\_

Miles W. Carroll

Dated: \_\_\_\_\_

Fiona M. Ellard

Dated: \_\_\_\_\_

Kevin A. Myers

60070296 v1